

Annexure 4: Uniform/Standardized File Upload for Banks –ASBA (Equity IPO)

Input File- File Format Type- comma (.csv) & pipe (.txt) separated

Sr No.	Field Name	Alpha Numeric/Character	Length	Mandatory/Non Mandatory	Remark
1	Scrip Id/Symbol	Alphanumeric	10	Mandatory	Symbol of the Company/IPO
2	Quantity	Numeric	11	Mandatory	Quantity should be always in multiple of market lot
3	Cut Off Flag	Numeric	1	Mandatory	1- if opting for cut-off. 0- if not opting for cut-off
4	Rate/Price	Numeric	6.2	Mandatory	Bidding Rate. In case of cut-off highest price
5	Application No	Alphanumeric	20	Mandatory	Application number of the Form
6	Depository Name	Alphanumeric	4	Mandatory	Either CDSL/NSDL
7	Dp ID	Alphanumeric	8	Mandatory	Depository Id. In case of CDSL, DPId should be 0 and in case of NSDL 8 digit DPId should be entered
8	ClientId/ Beneficiary Id	Numeric	16	Mandatory	In case of CDSL, the 16 digit Beneficiary Id should be entered and in case of NSDL 8 digit ClientId should be entered.
9	PAN No.	Alphanumeric	10	Mandatory	PAN Number of the client
10	Category / Sub-Category	Alphanumeric	5	Mandatory	Client sub-category master values would remain constant over all the scrips i.e.FI,FIL,IC,MF, OTH, NOH, CO, IND, EMP, SHA & POL
11	Bank Account Number	Alphanumeric	16	Optional	Account Number of the client
12	IFSC Code	Alphanumeric	11	Mandatory	IFSC Code of the bank branch
13*	ASBA Blocking Reference Number	Alphanumeric	60	Mandatory	Valid ASBA Block Reference Number of the Bank Prefix D for Direct ASBA Prefix S for Syndicate ASBA
14	Amount Received Flag	Alphanumeric	1	Mandatory	Y means Yes, N means No. Any value is accepted
15	Amount	Numeric	12	Mandatory	Cheque Amount received from Client
16	Bid Id	Numeric	16	Mandatory	Bid Id auto generated by the Exchange Bid Id is system generated. It will be 0(zero) only if Action Code is New - 'N'.
17	Action Code/Type	Alphanumeric	1	Mandatory	Action code, i.e., “N” for new record, “M” for to be modified record and “D” for to delete records.

* The following special characters ~, ! % & shall not be part of ASBA Blocking Reference Number

Success File

Sr No.	Field Name	Alpha Numeric/Character	Length	Mandatory/Non Mandatory	Remark
1	Scrip Id/Symbol	Alphanumeric	10	Mandatory	Symbol of the Company/IPO
2	Quantity	Numeric	11	Mandatory	Quantity should be always in multiple of market lot
3	Cut Off Flag	Character	1	Mandatory	1- if opting for cut-off. 0- if not opting for cut-off
4	Rate/Price	Numeric	6.2	Mandatory	Bidding Rate. In case of cut-off highest price
5	Application No	Character	20	Mandatory	Application number of the Form
6	Depository Name	Character	4	Mandatory	Either CDSL/NSDL
7	Dp ID	Alphanumeric	8	Mandatory	Depository Id. In case of CDSL, DPId should be 0 and in case of NSDL 8-digit DPId should be entered
8	Client Id/ Beneficiary Id	Alphanumeric	16	Mandatory	In case of CDSL, the 16-digit Beneficiary Id should be entered and in case of NSDL 8 digit ClientId should be entered.
9	PAN No.	Alphanumeric	10	Mandatory	PAN Number of the client
10	Category / Sub-Category	Alphanumeric	5	Mandatory	Client sub-category master values would remain constant over all the scrips i.e.FI,FIL,IC,MF, OTH, NOH, CO, IND, EMP, SHA & POL
11	Bank Account Number	Numeric	16	Optional	Account Number of the client
12	IFSC Code	Alphanumeric	11	Mandatory	IFSC Code of the bank branch
13*	ASBA Blocking Reference Number	Alphanumeric	60	Mandatory	Valid ASBA Block Reference Number of the Bank Prefix D for Direct ASBA Prefix S for Syndicate ASBA
14	Amount Received Flag	Character	1	Mandatory	Y means Yes, N means No. Any value is accepted
15	Amount	Numeric	12	Mandatory	Cheque Amount received from Client
16	BidId	Numeric	16	Mandatory	Bid Id auto generated by the Exchange. Bid Id is system generated.
17	Action Code/Type	Character	1	Mandatory	Action code, i.e., "N" for new record, "M" for to be modified record and "D" for to delete records.

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Error File

Sr No	Field Name	Alpha Numeric/Character	Length	Mandatory/Non Mandatory	Remark
1	Scrip Id/Symbol	Alphanumeric	10	Mandatory	Symbol of the Company/IPO
2	Quantity	Numeric	11	Mandatory	Quantity should be always in multiple of market lot
3	Cut Off Flag	Numeric	1	Mandatory	1- if opting for cut-off. 0- if not opting for cut-off
4	Rate/Price	Numeric	6.2	Mandatory	Bidding Rate. In case of cut-off highest price
5	Application No	Alphanumeric	20	Mandatory	Application number of the Form
6	Depository Name	Alphanumeric	4	Mandatory	Either CDSL/NSDL
7	Dp ID	Alphanumeric	8	Mandatory	Depository Id. In case of CDSL, DPId should be 0 and in case of NSDL 8 digit DPId should be entered
8	Client Id/ Beneficiary Id	Numeric	16	Mandatory	In case of CDSL, the 16digit Beneficiary Id should be entered and in case of NSDL 8 digit ClientId should be entered.
9	PAN No.	Alphanumeric	10	Mandatory	PAN Number of the client
10	Category / Sub-Category	Alphanumeric	5	Mandatory	Client sub-category master values would remain constant over all the scrips i.e.FI,FII,IC,MF, OTH, NOH, CO, IND, EMP, SHA & POL
11	Bank Account Number	Alphanumeric	16	Optional	Account Number of the client
12	IFSC Code	Alphanumeric	11	Mandatory	IFSC Code of the bank branch
13*	ASBA Blocking Reference Number	Alphanumeric	60	Mandatory	Valid ASBA Block Reference Number of the Bank Prefix D for Direct ASBA Prefix S for Syndicate ASBA
14	Amount Received Flag	Alphanumeric	1	Mandatory	Y means Yes, N means No. Any value is accepted
15	Amount	Numeric	12	Mandatory	Cheque Amount received from Client
16	BidId	Numeric	16	Mandatory	Bid Id auto generated by the Exchange. BidId is system generated. It will be 0(zero) only if Action Code is New - 'N'.
17	Action Code/Type	Alphanumeric	1	Mandatory	Action code, i.e., "N" for new record, "M" for to be modified record and "D" for to delete records.
18	Rejection Reason	Alphanumeric	40	Mandatory	Gives detailed description of the error encountered during upload

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